

Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept
and Obstruct Terrorism Act 2001 (US PATRIOT ACT)

APPENDIX A TO SUBPART I OF PART 103 –
CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS
FOR FOREIGN BANKS

[OMB Control Number 1505-0184]

*The information contained in this Certification is sought pursuant to Sections 5318(j)
and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the
USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any foreign bank that maintains a correspondent account with any U.S. bank or U.S. broker-dealer in securities (a covered financial institution As defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A foreign bank is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A bank includes office, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).*

A Correspondent Account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

Special instruction for covering multiple branches or a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institution, CANARA BANK ("Foreign Bank") hereby certifies as follows:

*A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.



B. **Correspondent Accounts Covered by this Certification:** Check one box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by
(name of Covered Financial Institution(s) for Foreign Bank).

C. **Physical Presence/Regulated Affiliate Status:** Check one box and complete the blanks.

- Foreign Bank maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: **Listed in Appendix I**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in **Listed in Appendix I** (insert country), where Foreign Bank is authorized to conduct banking activities.
 - Foreign Bank is subject to inspection by **Listed in Appendix I**, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: _____, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
 - The above address is in _____ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
 - Foreign Bank is subject to supervision by _____, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

D. **Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.



E. **Ownership Information:** Check box 1 or 2 below, if applicable.

1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If neither box 1 nor 2 of Part E is checked, complete item 3 below, if applicable.

3. Foreign Bank has no owner(s) except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family* shall be considered one person.

Name	Address
/	/
/	/

F. **Process Agent:** complete the following.

The following individual or entity: C T CORPORATION SYSTEM is a resident of the United States at the following street address:
111 EIGHT AVENUE, 13TH FLOOR NY10011 USA and
is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

*The same family means parent, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interest of the same family, any voting interest of any family member shall be taken into account.



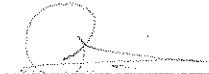
G. General

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, **G V PRABHU** (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

CANARA BANK
[Name of Foreign Bank]



[Signature]

G. V. PRABHU
Deputy General Manager
Integrated Treasury Wing, Mumbai

G V PRABHU
[Printed Name]

DEPUTY GENERAL MANAGER
[Title]

Executed on this 26th October 2017

Received and reviewed by:

Name: _____

Title: _____

For: _____

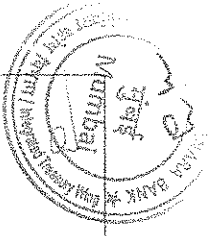
[Name of Covered Financial Institution]

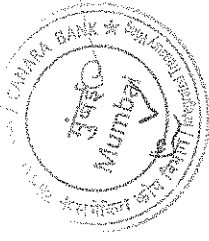
Date: _____



APPENDIX - I
ATTACHMENT TO USA PATRIOT ACT CERTIFICATIONS
GLOBAL LISTING OF ALL RELATED AFFILIATES, SUBSIDIARIES AND BRANCHES

Entity Name & Relationship to Entity Indicated in Section A (i.e. affiliate, subsidiary or branch)	Street Address				Country	Banking Authority
	Street	City	State	Postal Code		
Canara Bank Head Office	112, J C Road	Bangalore	Karnataka	560002	India	Reserve Bank of India
Canara Bank Integrated Treasury Wing Mumbai Office	Canara Bank Building, C-14, VI Floor, Bandra Kurta Complex, Bandra (East)	Mumbai	Maharashtra	400 051	India	Reserve Bank of India
Canara Bank London Office	PO Box 174 Ground Floor 10 Chiswel Street	London		EC1Y 4UQ	United Kingdom	1. Prudential Regulation Authority 2. Financial Conduct Authority
Canara Bank Hong Kong Office	No. 904 AON China Building 29, Queens Road	Central Hong Kong			Hong Kong	Hong Kong Monetary Authority
Canara Bank Shanghai Office	2601 Bank of Shanghai Mansion, 168 Middle Yincheng Road, Lujiazui, Pudong	Shanghai		200120	China (PRC)	1. China Banking Regulatory Commission 2. People's Bank of China
Canara Bank Leicester Office	188 Belgrave Road	Leicester		LE4 5AU	United Kingdom	1. Prudential Regulation Authority 2. Financial Conduct Authority
Canara Bank Manama Office	No.162 16 th Floor, Main Tower, B-1, Al Zamil Towers Bldg No.31 Road 383 Block 305, PB 65170	Manama			The Kingdom of Bahrain	Central Bank of Bahrain
Canara Bank Johannesburg Office	11th Floor Sandton City Office Tower, Sandton Corner 5th Street and Revonia Road Sandhurst Ext 3, Sandton Johannesburg	Johannesburg		2196	Republic of South Africa	South African Reserve Bank
Canara Bank New York Office	405 Park Avenue 9th Floor, 904 New York, NY 10022	New York	New York	NY 10022	United States of America	1. Federal Reserve Bank of New York 2. New York State Department of Financial Services
Canara Bank Representative Office, Sharjah (UAE)	Flat No.504, 5 th Floor Liberty Real Estate Bldg. Al Mina Road, Al Sour Area PO Box 95400 Sharjah UAE	Sharjah			United Arab Emirates (UAE)	Central Bank of UAE





Canara Bank DIFC Branch, Dubai, UAE	N702 LEVEL 7 ; PO BOX 507037 Emirates Financial Towers Dubai International Financial Centre, Dubai, UAE	Dubai			United Arab Emirates (UAE)	Dubai Financial Services Authority (DFSA)
Canara Bank (Tanzania) Limited Wholly Owned Subsidiary Dar Es Salaam, Tanzania	Post Box No. 491 16/1, Elia Complex, Corner of Zanaki & Bibi Titi Street, Dar Es Salaam, Tanzania	Dar Es Salaam			Tanzania	Bank of Tanzania
Commercial Indo Bank LLC Moscow (Joint Venture with State Bank of India)	109147, Ul Marxistkaya 16, Moscow	Moscow			Russia	Central Bank of Russia